

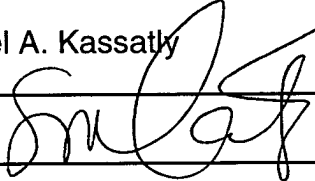
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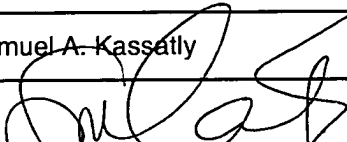
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/502,818	
	Filing Date	02/11/2000	
	First Named Inventor	Neelakantan Sundaresan	
	Group Art Unit	2177	
	Examiner Name	Channavajjala, Srirama T.	
Total Number of Pages in This Submission		Attorney Docket Number	ARC-00-0004-US1

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input checked="" type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): 1) Return postcard 2) Appeal Brief (Triplicate)
Remarks		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Samuel A. Kassatly
Signature	
Date	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail Label No. EL147936049US, and addressed to: Commissioner for Patents, Washington, DC 20231 on: 02/08/2003			
Typed or printed name	Samuel A. Kassatly		
Signature		Date	02/08/2003

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**640.00**

Complete if Known

Application Number 09/502,818
Filing Date 02/11/2000
First Named Inventor Neelakantan Sundaresan
Examiner Name Channavajjala, Srirama T.
Art Unit 2177
Attorney Docket No. ARC-00-0004-US1

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

09-0441

International Business Machines

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** = 0 X	18.00	0.00
Multiple Dependent	-3** = 0 X	84.00	0.00
			0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**0.00**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description
1051 130	2051 65	1052 50	2052 25	Surcharge - late filing fee or oath
1053 130	1053 130	1812 2,520	1812 2,520	Surcharge - late provisional filing fee or cover sheet
1804 920*	1804 920*	1805 1,840*	1805 1,840*	Non-English specification
1251 110	2251 55	1451 1,510	1451 1,510	For filing a request for ex parte reexamination
1252 410	2252 205	1452 110	2452 55	Requesting publication of SIR prior to Examiner action
1253 930	2253 465	1453 1,300	2453 650	Requesting publication of SIR after Examiner action
1254 1,450	2254 725	1501 1,300	2501 650	Extension for reply within first month
1255 1,970	2255 985	1502 470	2502 235	Extension for reply within second month
1401 320	2401 160	1503 630	2503 315	Extension for reply within third month
1402 320	2402 160	1460 130	1460 130	Extension for reply within fourth month
1403 280	2403 140	1807 50	1807 50	Extension for reply within fifth month
1451 1,510	1451 1,510	1806 180	1806 180	Notice of Appeal
1452 110	2452 55	8021 40	8021 40	Filing a brief in support of an appeal
1453 1,300	2453 650	1809 750	2809 375	Request for oral hearing
1501 1,300	2501 650	1810 750	2810 375	Petition to institute a public use proceeding
1502 470	2502 235	1801 750	2801 375	Petition to revive - unavoidable
1503 630	2503 315	1802 900	1802 900	Petition to revive - unintentional
1460 130	1460 130			Utility issue fee (or reissue)
1807 50	1807 50			Design issue fee
1806 180	1806 180			Plant issue fee
8021 40	8021 40			Petitions to the Commissioner
1809 750	2809 375			Processing fee under 37 CFR 1.129(a)
1810 750	2810 375			Submission of Information Disclosure Statement
1801 750	2801 375			Recording each patent assignment or change of ownership (times number of properties)
1802 900	1802 900			Filing a submission after final rejection (37 CFR 1.129(a))
				For each additional invention to be examined (37 CFR 1.129(b))
				Request for Continued Examination (RCE)
				Request for expedited examination of a design application

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**640.00**

SUBMITTED BY

Name (Print/Type) **Samuel A. Kassatly** Registration No. **32,247** Telephone **408-323-5111**
Signature *[Signature]* (Attorney/Agent) Date **02/08/2003**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: